

Morehead-Rowan County Airport Board Special Meeting Agenda

Monday, 26 April 2021– 6:00 PM

This will be by video teleconference

The primary location where all members can be seen and heard and the public may attend is at:

Morehead-Rowan County Clyde A. Thomas Regional Airport

1800 Rodney Hitch Blvd., Morehead, Kentucky

- A. Call to Order
- B. Confirmation of a Quorum
- C. Recognition of Guests
- D. Approval of Minutes from the 25 March 2021 Meeting
- E. Receive Financial Report for March 2021
- F. Public Comment
- G. Reports
 - a. FBO Activity Report: Ms. Holley
 - b. Garver Report: Mr. Upchurch
 - 1. Phase II Mill and Overlay Project – Bids and Award Recommendations
 - c. Building and Grounds: Mr. Oliver/Dr. Derrickson
 - d. Legal Report: Mr. Rogers
 - e. Communications and Security: Mr. Roberts
 - f. Chair Report: Dr. Mattingly
- H. Old Business
 - a. New Business:
 - 1. Phase II Mill and Overlay Project: Approve Award and FAA grant application
 - 2. 2021-22 Officer Nominating Committee
 - 3. 29 April 2021 regular meeting
- I. Adjournment

Next Regularly Scheduled Meeting – 6:00 pm 29 April 2021

Morehead-Rowan County Airport Board Meeting
Meeting Minutes
March 25, 2021

Chairman Bruce Mattingly called the meeting to order via Zoom at 6:01pm. Other members present were Tim James, Ron Oliver, Wes Holland, Aaron Roberts, David Perkins, Porter Dailey, Mike Gray, Tom Fossett, and Charles Derrickson. Guests present via Zoom included Jack Holley Jr. – FBO, Holley Aviation LLC; Earl Rogers – Attorney, Campbell and Rogers PLLC; Mark Upchurch – PE, Garver; Jason Slone - CEO/President, Morehead-Rowan County Chamber of Commerce/Interim Executive Director, Morehead-Rowan County Economic Development Council; and Barbara Marsh – Assistant Secretary-Treasurer.

Dr. Mattingly presented the Minutes of the February meeting. Dr. Mattingly corrected the instruction for Dr. Shannon Murphy in the Public Comments section: Dr. Shannon Murphy is working toward his private pilot license instead of CFI. The motion to approve the February 25, 2021 Meeting Minutes as corrected was made by Mr. Perkins, seconded by Mr. James, and approved unanimously.

Mr. Oliver presented the February financials which included the Statement of Financial Position and the Statement of Activity. The motion to approve the February financials was made by Mr. Gray, seconded by Mr. Perkins, and approved unanimously.

Public Comment: Mr. Slone and Mr. Harry Clark, Rowan County Judge Executive, received a request from United States Representative Harold Rogers requesting information for potential Economic Development projects for Rowan County. Mr. Slone included two projects requesting any available funding for the following projects as related to the Clyde A. Thomas Regional Airport.

1. \$5M to increase Aerospace Growth and Trained Workforce. A partnership for an Aviation Mechanical Program building to be constructed at the airport (\$2.5M) and to Maysville Community & Technical College, Rowan Campus (MCTC) for Aviation Mechanical Program software and materials to administer the program (\$2.5M). The building would be constructed at the airport and leased back to MCTC for the Aviation Mechanical Program. There is a provision for public/private funding as well.
2. \$25M to begin exploration of runway extension to 7000' feet. To conduct a feasibility study for extending the current 5500' foot runway to 7000' feet and determine additional infrastructure requirements and needs of the existing 5500' foot runway for handling air freight or passenger jets. Monies awarded would include the study and initial development cost associated for extending and reinforcing the runway and other infrastructure.

a. **FBO Activity Report.** Mr. Holley reported on fuel sales, maintenance, and airport activity.

1. **Fuel Sales.** For February: AvGas was 520 gallons and JETA was 1127 gallons.
2. **Community Hangar and Tie Down Tenants.** Community hangar tenants are Matt Oldham, Ron Beller, and Rebeka Riley. Randy DeRaimo's Robinson R-66 helicopter is with us intermittently for continuing pipeline patrol work. Tie downs are Charlie Hamilton, Johnnie Broughton, Kevin Mays, and Cova Cornett.
3. **Titan to Supply Fuel.** Titan has transitioned signage and equipment from Shell and has provided AvGas and JETA placards at no cost for the fuel farm tanks. Signage for the fuel truck is expected soon.

4. **Hangar Leases Ending March 31.** Mark Bailey (A6) and Ched Crouse (A5) have been unable to secure aircraft for occupancy by the agreed date of March 31. Kevin Brown (B7) has vacated his hangar also. Next on the hangar waiting list are Jim Stevens, Ron Beller, and Rebeka Riley. Mr. Holley indicated there are 8 persons on the revised wait list. Dr. Bailey and Dr. Crouse have been placed on the waiting list again. Mr. Dailey inquired about the possibility of beginning the process of obtaining funds to build a third set of T-Hangars, Mr. Upchurch reported that the third T-Hangar is proposed in the FY20-25 Airport Capital Improvement Plan (ACIP) which was submitted on December 18, 2020.

5. **REILs/PAPIs.** The Runway End Identifier Lights and Precision Approach Path Identifier systems are operational and are awaiting the FAA flight check to activate them for use.

b. Garver Report. Mr. Upchurch

1. Runway Taxiway Lighting. As reported by Mr. Holley, the REILs are operational. Appalachian Foothills Contracting, the Prime Contractor, plans to be back on-site in April to address outstanding punch list items such as seeding and settlement. Mr. Upchurch has been working with the FAA to correct the Visual Glide Slope Indicator (VGSI) forms based on the FAA's comments. The elevations have been verified. Mr. Upchurch made the correct changes as requested by the FAA and submitted the VGSI forms back to the FAA. We will now wait for the flight check to be scheduled.

2. Runway Rehab Phase I and Phase II. Mr. Upchurch reported his recent conversations with Eb Copher, Project Manager for Walker Construction, regarding the construction timeline to address any punch list items and ditch grading. Walker anticipates completion of the ditch grading work in May after the rainy season has passed. Mr. Upchurch stated he is fine with the May construction timeline. He also does not anticipate any push back from the State regarding any delays in closing out the grant. For Phase II, Mr. Upchurch reviewed his anticipated bid schedule. He anticipates advertisement of the Runway Rehab Mill and Overlay in the Louisville Courier-Journal by April 2, 2021 with a bid opening of April 20, 2021. Mr. Upchurch reviewed grant application action items. After the bid opening, Mr. Upchurch will draft and present a Recommendation of Award letter to the Airport Board. After the Airport Board reviews and accepts the letter, the grant application shall be submitted to the FAA. *(Note: A special called board meeting may be necessary due to the short time between the April 29 regular Board Meeting and the grant application due date of May 1.)* The grant application shall be submitted by May 1, 2021. Mr. Upchurch indicated due to the recent passing of the American Rescue Plan, all FY2021 federally funded projects should be 100% federally funded. This is written in law; however, there has not been a formal announcement from the FAA. This will save the Board approximately 5% or @ \$50,000.

3. Fuel System Rehab. Mr. Upchurch asked Mr. Holley if there are any outstanding costs of the fuel system. Mr. Holley indicated there is no outstanding costs. Therefore, Mr. Upchurch will notify the State the project is ready to be closed out.

4. KAZC/Tower. Mr. Upchurch received the letter from the Airport Board that stated a proposed tower was being proposed within the vicinity of the airport (@5miles east of airport). Mr. Upchurch completed a preliminary review and did not see where this has direct impact on the airport's flight pattern as it is not within the approach or departure surfaces; however, it is near one of the potential flight patterns that was provided by the FAA. Mr. Upchurch submitted questions to the State for clarification and understanding of all the flight pattern surfaces around the airport; however, Mr. Upchurch did provide further information on the steps the State has in place to protect the airport. The Kentucky Airport Zoning Commission (KAZC), by law, protects the airspace within 5 miles of the public use airport.

KAZC will review the tower and submit documentation to the FAA for their comment. There is a KAZC meeting in April (April 8) that the Airport Board is welcome to attend and provide comment to KAZC. Mr. Upchurch has made himself available for that meeting.

5. Feasibility Study/Business Plan. Mr. Upchurch has been communicating with Ms. Karen Scott, a business consultant with Inspired Strategies. Mr. Upchurch will work on setting up a formal meeting with Garver, Inspired Strategies, and the Airport Board to discuss the development of a business plan/feasibility study for extension of the runway. The Airport Board and Mr. Slone seemed to be receptive to the idea of said meeting and looks forward to hearing about the opportunity to aid in economic development. Ms. Scott has given questions to send to the Executive Committee. Mr. Upchurch to forward information to Dr. Mattingly.

Other: Air Cargo Market. During the recent ACC/AAAE Design Symposium Virtual Meeting (Airport Planning, Design & Construction) held March 1, 2 & 4, 2021, Mr. Upchurch attended a breakout session with United Parcel Service (UPS). Due to the COVID19 pandemic there has been a shift in customer eCommerce and thus UPS has been overloading their existing facilities. It is the feeling of Mr. Upchurch that the airport is in a great location and that plans need to be in place to determine what is feasible for a runway extension, justification, and a cost estimate for the airport to position themselves in the air cargo market. Ms. Suzanne Peyton estimates \$15-\$30K to get a plan with figures. It is necessary to get everyone to the table to start the feasibility study and seek funding. Mr. Upchurch to forward information to Dr. Mattingly.

Approval of Garver for Runway Rehab Phase II - Engineering Dr. Mattingly presented the Garver Work Order for Professional Services to complete construction for Runway Rehab Phase II – Mill and Overlay of Runway 2-20. The motion to approve the Garver Work Order for Professional Engineering, Planning & Bidding Services for Runway Rehab Phase II in the amount of \$87,016 was made by Mr. Dailey, seconded by Mr. Perkins, and approved unanimously.

c. **Buildings and Grounds Report.** Mr. Oliver, Chairman, and Dr. Derrickson
Mr. Oliver reported that Mr. Oldham, DWMH residence lease holder, suggested that the exterior of the DWMH has been discolored by mold and mildew. Mr. Oldham suggested that one of his customers, Aqua Clean (Mr. Jim Mabry) had given him his card in case services were needed for pressure washing. Mr. Oliver would like to see two quotes on this maintenance item. The Buildings & Grounds budget has funds for maintenance. Mr. Oliver will find the best quote and proceed.

Dr. Mattingly reported that he had received a proposal from the MSU farm and AppHarvest to see if we would be willing to store their vine debris to compost and then spread on our grounds. It was agreed that we need to work with MSU and Mr. Joe Fraley. The vine debris and compost storage would attract birds. Mr. Upchurch reported that one of the FAA Grant Assurances in an advisory circular precludes anything that attracts wildlife. Mr. Upchurch will forward the advisory circular. Birds are a hazard near an airport. The proposal was declined.

d. **Legal.** Mr. Rogers
None

e. **Communications and Security.** Mr. Roberts

None

f. **Chair Report.** Dr. Mattingly

Clark's Field Airport. Dr. Mattingly scheduled a meeting with the FAA and the Executive Board to discuss air traffic patterns with the new Clark's Field airport which is within 5 miles of Morehead-Rowan County Regional airport. To ensure no conflict and enhance air safety, an agreement was signed regarding radio frequencies and left and right traffic patterns. The Letter of Agreement was signed by Mr. Josh Clark and Dr. Mattingly on March 18, 2021.

H. Old Business

None.

I. New Business

Chamber of Commerce Golf Scramble. We are members of the Morehead-Rowan County Chamber of Commerce which has scheduled an annual fundraiser golf scramble on Friday, June 18 at Eagle Trace Golf Course. There are different levels of sponsorship up to \$400. Mr. Holland said the \$150 banner would be a good idea. Mr. Dailey and Mr. Roberts agreed that as members it would be helpful to support the fundraiser. The motion to sponsor the Morehead-Rowan County Chamber of Commerce Golf Scramble on June 18, 2021 with a \$150 banner was made by Mr. Perkins, seconded by Mr. James, and approved unanimously. Mr. Holley also requested a \$150 sponsorship for Holley Aviation LLC. Mr. Slone will invoice for the sponsorships.

J. Adjournment

The meeting was adjourned at 7:09pm.

Morehead-Rowan County Airport Board

Statement of Activity

March 2021

	TOTAL
Revenue	
43400 Direct Public Support	
43420 County Operating Support	3,166.67
Total 43400 Direct Public Support	3,166.67
45000 Investments	
45030 Interest-Savings, Short-term CD	51.23
45031 Interest, checking account	2.46
45040 Interest Income, savings account	0.92
Total 45000 Investments	54.61
47500 Rentals	
47520 T-Hangars	3,570.00
47570 Residence	500.00
Total 47500 Rentals	4,070.00
Total Revenue	\$7,291.28
GROSS PROFIT	\$7,291.28
Expenditures	
62100 Contract Services	
62112 AWOS Maintenance	600.00
62140 Legal Fees	25.00
62150 FBO	3,000.00
62170 Administrative	687.67
Total 62100 Contract Services	4,312.67
64400 Utilities	
64410 Electric	1,028.40
64420 Telecommunications	185.53
64440 Water and Sewer	-4.38
64450 TV	44.85
Total 64400 Utilities	1,254.40
65000 General Administration	
65010 Bookkeeping Software and Subscriptions	198.89
Total 65000 General Administration	198.89
Total Expenditures	\$5,765.96
NET OPERATING REVENUE	\$1,525.32
NET REVENUE	\$1,525.32

Morehead-Rowan County Airport Board

Statement of Financial Position

As of March 31, 2021

	TOTAL
ASSETS	
Current Assets	
Bank Accounts	
Citizens Bank Bldg/Grnds Dep Reserve NOW Acct. .03%	34,058.37
Citizens Bank CD Fuel Reserves .65% 13mo matures 11.14.21	48,198.23
Citizens Bank Construction Account NOW .03%	5,037.76
Citizens Bank Fuel Account NOW .00%	53.94
Citizens Bank Fuel Reserves 4.3.18 - CD 30 Mo. 2.68%	0.00
Citizens Bank Operating Account NOW .03%	82,941.20
KY Bank CD Bldgs & Grds Dep Reserve 12.20.20 - 1 Yr 1.9%	0.00
KY Bank CD Fuel Reserves 12.20.19 - 1 Yr 1.9%	0.00
Whitaker Bank CD 12.21.20 Fuel Res 12 mo .30%	5,655.81
Whitaker Bank CD Bldgs/Grds Res 12.21.20 -12 mo .30%	63,672.88
Total Bank Accounts	\$239,618.19
Total Current Assets	\$239,618.19
Fixed Assets	
15000 Furniture and Equipment	643.94
Total Fixed Assets	\$643.94
TOTAL ASSETS	\$240,262.13
LIABILITIES AND EQUITY	
Liabilities	
Total Liabilities	
Equity	
30000 Opening Balance Equity	321,613.58
32000 Unrestricted Net Assets	-122,231.49
Net Revenue	40,880.04
Total Equity	\$240,262.13
TOTAL LIABILITIES AND EQUITY	\$240,262.13



2525 Harrodsburg Rd
Suite 405
Lexington, KY 40504
TEL 859.219.0659
FAX 859.410.2600
www.GarverUSA.com

April 23, 2021

Morehead-Rowan County Regional Airport
1800 Rodney Hitch Blvd.
Morehead, Kentucky 40351

Re: Runway 2-20 Rehabilitation
(Phase II – Mill and Overlay)
Recommendation of Award

Dear Airport Board:

Bids were received for the "Runway 2-20 Rehabilitation (Phase II – Mill and Overlay)" project at the Morehead-Rowan County Airport Terminal Building at 1:00 PM ET local time on Wednesday, April 21, 2021. The bids have been checked for accuracy and for compliance with the contract documents. A tabulation of the bid received is enclosed with this letter.

Despite the interest at the pre-bid meeting and during the advertisement/bidding phase, only one bid was received on the project. Hinkle Contracting Company LLC, out of Paris, Kentucky, submitted the low bid for the project in the amount of \$1,162,404.93. The Engineer's Opinion of Probable Cost was \$1,202,422.00. In review of the unit bid prices, Hinkle Contracting Company LLC's bid is acceptable.

Garver has reviewed the bid proposal from Hinkle Contracting Company LLC for compliance with the "Instructions to Bidders". This information includes the following:

- Acceptance of Addenda
- Bid Guaranty (Bid Bond)
- Proposal
- Bidder's Qualifications
- Bidder's Certifications
- List of Proposed Subcontractors
- Automobile and Liability Insurance Coverage
- Contractor's License

We believe that the bid submitted by Hinkle Contracting Company LLC represents a good value for the Morehead-Rowan County Airport Board and Hinkle Contracting Company LLC can complete the work in the scheduled timeframe. We recommend that the construction contract for the "Runway 2-20 Rehabilitation (Phase II – Mill and Overlay)" be awarded to Hinkle Contracting Company LLC in the amount of \$1,162,404.93. We do recommend not executing any contracts with Hinkle Contracting Company LLC until the grant agreement is secured from the FAA and Hinkle Contracting Company LLC provides project specific certificate of insurances, performance, and payment bonds.

Runway 2-20 Rehabilitation
Recommendation of Award Letter
Page 2 of 2

Upon acceptance of the Recommendation of Award Letter, Garver will prepare and submit the FAA Grant Application for the Chairman's signature. Furthermore, Garver will prepare the Issued for Construction (IFC) contract documents.

Please call me if you have any questions.

Sincerely,

GARVER, LLC

A handwritten signature in blue ink, appearing to read "M. Upchurch", is written over the printed name.

Mark Upchurch, P.E.
Project Manager

Attachments: Detailed Bid Tabulation

MOREHEAD-ROWAN COUNTY AIRPORT
RUNWAY REHABILITATION PROJECT
BID TABULATION
BID OPENING: April 21, 2021

ENGINEER'S ESTIMATE

Hinkle Contracting Company, LLC

ITEM NO.	SPEC. NO.	DESCRIPTION	UNIT	ESTIMATED QUANTITY	ENGINEER'S ESTIMATE		Hinkle Contracting Company, LLC	
					UNIT	AMOUNT	UNIT	AMOUNT
1	C-105-6.1	Mobilization	LS	1	\$83,889.90	\$83,890.00	\$98,000.00	\$98,000.00
2	SS-120-3.1	Lockout and Tagout	LS	1	\$1,500.00	\$1,500.00	\$8,000.00	\$8,000.00
3	SS-152-5.2	Shouldering	SY	10,450	\$4.50	\$47,025.00	\$2.55	\$26,647.50
4	P-101-5.1	Joint and Crack Repair	LF	24,400	\$1.50	\$36,600.00	\$0.90	\$21,960.00
5	P-101-5.2	Cold Milling (0-3 Inches)	SY	63,540	\$2.00	\$127,080.00	\$1.65	\$104,841.00
6	P-401-8.1	Asphalt Surface Course	SY	7,760	\$104.00	\$807,040.00	\$102.00	\$791,520.00
7	P-620-5.1	White Runway Marking w/ Reflective Media	SF	37,569	\$1.40	\$52,597.00	\$1.57	\$58,983.33
8	P-620-5.2	Yellow Taxiway Marking w/ Reflective Media	SF	10,540	\$1.40	\$14,756.00	\$1.57	\$16,547.80
9	P-620-5.3	Black Outline Marking without Reflective Media	SF	18,830	\$1.00	\$18,830.00	\$1.06	\$19,959.80
10	P-620-5.4	Cleaning of Airfield Pavement Markings	SF	7,035	\$0.60	\$4,221.00	\$0.90	\$6,331.50
11	T-908-5.1	Hydro-mulch	SY	10,450	\$0.85	\$8,883.00	\$0.92	\$9,614.00

TOTALS

\$1,202,422.00

\$1,162,404.93

Corrected Prices



MOREHEAD - ROWAN COUNTY

CLYDE A. THOMAS

REGIONAL AIRPORT

April 26, 2021

FAA Memphis ADO
Attn: Tommy Dupree
Tommy.Dupree@faa.gov
2600 Thousand Oaks
Suite 2250
Memphis, TN 38118

Re: FAA Grant Application Packet
Runway Rehab 2-20 (Phase II – Mill and Overlay)
AIP 3-21-0087-012-2021

Dear Mr. Dupree,

Enclosed is the complete FAA Grant Application for the above referenced project. This packet also includes the following:

SF-424 Grant Application for AIP 012
Sponsor Certification 5100-129
Sponsor Certification 5100-131
Sponsor Certification 5100-135
Detailed Bid Tabulation

FAA Form 5100-100
Sponsor Certification 5100-130
Sponsor Certification 5100-134
Project Budget
Recommendation of Award Letter

If you have any questions about this package, please contact Mark Upchurch, Project Manager with Garver, LLC at (859) 219-0659 or via email at MDUpchurch@GarverUSA.com.

Sincerely,



Dr. Bruce Mattingly
Board Chairman

SERVING ROWAN COUNTY AND THE REGION FOR MORE THAN 50 YEARS.

1800 Rodney Hitch Road, P.O. Box 934, Morehead, KY 40351 • Office: (606) 780-0085 • mrcairport.org

Application for Federal Assistance SF-424*** 1. Type of Submission:**

- ☐ Preapplication
☒ Application
☐ Changed/Corrected Application

*** 2. Type of Application:**

- ☒ New
☐ Continuation
☐ Revision

*** If Revision, select appropriate letter(s):***** Other (Specify):***** 3. Date Received:****4. Applicant Identifier:**

SYM

5a. Federal Entity Identifier:

3-21-0087

5b. Federal Award Identifier:**State Use Only:****6. Date Received by State:****7. State Application Identifier:****8. APPLICANT INFORMATION:***** a. Legal Name:**

MOREHEAD-ROWAN COUNTY, CLYDE A. THOMAS REGIONAL AIRPORT

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

611348713

*** c. Organizational DUNS:**

0784133400000

d. Address:*** Street1:**

1800 RODNEY HITCH BLVD.

Street2:*** City:**

MOREHEAD

County/Parish:*** State:**

KY: Kentucky

Province:*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

40351-9813

e. Organizational Unit:**Department Name:**

MOREHEAD-ROWAN COUNTY

Division Name:

AIRPORT BOARD

f. Name and contact information of person to be contacted on matters involving this application:**Prefix:**

Dr.

*** First Name:**

Bruce

Middle Name:*** Last Name:**

Mattingly

Suffix:**Title:**

CHAIRMAN

Organizational Affiliation:

MOREHEAD-ROWAN COUNTY AIRPORT BOARD

*** Telephone Number:**

606-776-1232

Fax Number:*** Email:**

b.mattingly@morehead-st.edu

Application for Federal Assistance SF-424

* 9. Type of Applicant 1: Select Applicant Type:

D: Special District Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

* 10. Name of Federal Agency:

FEDERAL AVIATION ADMINISTRATION

11. Catalog of Federal Domestic Assistance Number:

20.106

CFDA Title:

AIRPORT IMPROVEMENT PROGRAM

* 12. Funding Opportunity Number:

3-21-0087

* Title:

RUNWAY 2-20 REHABILITATION - MILL AND OVERLAY

13. Competition Identification Number:

3-21-0087

Title:

RUNWAY 2-20 REHABILITATION - MILL AND OVERLAY

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

* 15. Descriptive Title of Applicant's Project:

COMPLETE CONSTRUCTION FOR PHASE II - MILL AND OVERLAY OF RUNWAY 2-20.

Attach supporting documents as specified in agency instructions.

View Attachments

Application for Federal Assistance SF-424**16. Congressional Districts Of:**

* a. Applicant

KY005

* b. Program/Project

KY005

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

07/01/2021

* b. End Date:

12/31/2021

18. Estimated Funding (\$):

* a. Federal	1,294,492.00
* b. Applicant	0.00
* c. State	0.00
* d. Local	0.00
* e. Other	0.00
* f. Program Income	0.00
* g. TOTAL	1,294,492.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on
- ☒ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☐ c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Dr. * First Name: Bruce

Middle Name:

* Last Name: Mattingly

Suffix:

* Title: CHAIRMAN

* Telephone Number: 606-776-1232

Fax Number:

* Email: b.mattingly@morehead-st.edu

* Signature of Authorized Representative:

* Date Signed:

4-26-21

Application for Federal Assistance (Development and Equipment Projects)

PART II – PROJECT APPROVAL INFORMATION

Part II - SECTION A	
The term "Sponsor" refers to the applicant name provided in box 8 of the associated SF-424 form.	
Item 1. Does Sponsor maintain an active registration in the System for Award Management (www.SAM.gov)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Item 2. Can Sponsor commence the work identified in the application in the fiscal year the grant is made or within six months after the grant is made, whichever is later?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
Item 3. Are there any foreseeable events that would delay completion of the project? If yes, provide attachment to this form that lists the events.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
Item 4. Will the project(s) covered by this request have impacts or effects on the environment that require mitigating measures? If yes, attach a summary listing of mitigating measures to this application and identify the name and date of the environmental document(s).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A
Item 5. Is the project covered by this request included in an approved Passenger Facility Charge (PFC) application or other Federal assistance program? If yes, please identify other funding sources by checking all applicable boxes.	
<input type="checkbox"/> The project is included in an <i>approved</i> PFC application. If included in an approved PFC application, does the application <i>only</i> address AIP matching share? <input type="checkbox"/> Yes <input type="checkbox"/> No	
<input checked="" type="checkbox"/> The project is included in another Federal Assistance program. Its CFDA number is below. 20.106 FAA AIP	
Item 6. Will the requested Federal assistance include Sponsor indirect costs as described in 2 CFR Appendix VII to Part 200, States and Local Government and Indian Tribe Indirect Cost Proposals?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> N/A	
If the request for Federal assistance includes a claim for allowable indirect costs, select the applicable indirect cost rate the Sponsor proposes to apply:	
<input type="checkbox"/> De Minimis rate of 10% as permitted by 2 CFR § 200.414.	
<input type="checkbox"/> Negotiated Rate equal to % as approved by (the Cognizant Agency) on (Date) (2 CFR part 200, appendix VII).	
<i>Note: Refer to the instructions for limitations of application associated with claiming Sponsor indirect costs.</i>	

PART II - SECTION B

Certification Regarding Lobbying

The declarations made on this page are under the signature of the authorized representative as identified in box 21 of form SF-424, to which this form is attached. The term "Sponsor" refers to the applicant name provided in box 8 of the associated SF-424 form.

The Authorized Representative certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Sponsor, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Authorized Representative shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The Authorized Representative shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

PART II – SECTION C

The Sponsor hereby represents and certifies as follows:

1. Compatible Land Use – The Sponsor has taken the following actions to assure compatible usage of land adjacent to or in the vicinity of the airport:

Yes, the project is within the limits of the previously approved ALP.

2. Defaults – The Sponsor is not in default on any obligation to the United States or any agency of the United States Government relative to the development, operation, or maintenance of any airport, except as stated herewith:

No.

3. Possible Disabilities – There are no facts or circumstances (including the existence of effective or proposed leases, use agreements or other legal instruments affecting use of the Airport or the existence of pending litigation or other legal proceedings) which in reasonable probability might make it impossible for the Sponsor to carry out and complete the Project or carry out the provisions of the Grant Assurances, either by limiting its legal or financial ability or otherwise, except as follows:

None.

4. Consistency with Local Plans – The project is reasonably consistent with plans existing at the time of submission of this application) of public agencies that are authorized by the State in which the project is located to plan for the development of the area surrounding the airport.

Yes, the project is within the limits of the previously approved ALP as well as the ACIP.

5. Consideration of Local Interest – It has given fair consideration to the interest of communities in or near where the project may be located.

Yes. Local officials are aware of the need for the project.

6. Consultation with Users – In making a decision to undertake an airport development project under Title 49, United States Code, it has consulted with airport users that will potentially be affected by the project (§ 47105(a)(2)).

Yes, the project has been discussed with the Airport Board and Airport users.

7. Public Hearings – In projects involving the location of an airport, an airport runway or a major runway extension, it has afforded the opportunity for public hearings for the purpose of considering the economic, social, and environmental effects of the airport or runway location and its consistency with goals and objectives of such planning as has been carried out by the community and it shall, when requested by the Secretary, submit a copy of the transcript of such hearings to the Secretary. Further, for such projects, it has on its management board either voting representation from the communities where the project is located or has advised the communities that they have the right to petition the Secretary concerning a proposed project.

Categorical Exclusion Simple Written Record has been previously approved.

8. Air and Water Quality Standards – In projects involving airport location, a major runway extension, or runway location it will provide for the Governor of the state in which the project is located to certify in writing to the Secretary that the project will be located, designed, constructed, and operated so as to comply with applicable air and water quality standards. In any case where such standards have not been approved and where applicable air and water quality standards have been promulgated by the Administrator of the Environmental Protection Agency, certification shall be obtained from such Administrator. Notice of certification or refusal to certify shall be provided within sixty days after the project application has been received by the Secretary.

Categorical Exclusion Simple Written Record has been previously approved.

PART II – SECTION C (Continued)

9. Exclusive Rights – There is no grant of an exclusive right for the conduct of any aeronautical activity at any airport owned or controlled by the Sponsor except as follows:

No exclusive rights.

10. Land – (a) The sponsor holds the following property interest in the following areas of land, which are to be developed or used as part of or in connection with the Airport subject to the following exceptions, encumbrances, and adverse interests, all of which areas are identified on the aforementioned property map designated as Exhibit "A". [1]

Proposed work is within the limits of the Airport's current property.

The Sponsor further certifies that the above is based on a title examination by a qualified attorney or title company and that such attorney or title company has determined that the Sponsor holds the above property interests.

(b) The Sponsor will acquire within a reasonable time, but in any event prior to the start of any construction work under the Project, the following property interest in the following areas of land on which such construction work is to be performed, all of which areas are identified on the aforementioned property map designated as Exhibit "A". [1]

N/A

(c) The Sponsor will acquire within a reasonable time, and if feasible prior to the completion of all construction work under the Project, the following property interest in the following areas of land which are to be developed or used as part of or in connection with the Airport as it will be upon completion of the Project, all of which areas are identified on the aforementioned property map designated as Exhibit "A". [1]

N/A

¹ State the character of property interest in each area and list and identify for each all exceptions, encumbrances, and adverse interests of every kind and nature, including liens, easements, leases, etc. The separate areas of land need only be identified here by the area numbers shown on the property map.

PART III – BUDGET INFORMATION – CONSTRUCTION

SECTION A – GENERAL	
1. Assistance Listing Number:	20-016
2. Functional or Other Breakout:	FAA AIP 3-21-0087

SECTION B – CALCULATION OF FEDERAL GRANT			
Cost Classification	Latest Approved Amount (Use only for revisions)	Adjustment + or (-) Amount (Use only for revisions)	Total Amount Required
1. Administration expense	\$ 0	\$ 0	\$ 0
2. Preliminary expense	0	0	0
3. Land, structures, right-of-way	0	0	0
4. Architectural engineering basic fees	0	87,016	87,016
5. Other Architectural engineering fees	0	0	0
6. Project inspection fees	0	0	0
7. Land development	0	0	0
8. Relocation Expenses	0	0	0
9. Relocation payments to Individuals and Businesses	0	0	0
10. Demolition and removal	0	0	0
11. Construction and project improvement	0	1,162,405	1,162,405
12. Equipment	0	0	0
13. Miscellaneous	0	45,071	45,071
14. Subtotal (Lines 1 through 13)	\$ 0	\$ 1,294,492	\$ 1,294,492
15. Estimated Income (if applicable)	0	0	0
16. Net Project Amount (Line 14 minus 15)	0	1,294,492	1,294,492
17. Less: Ineligible Exclusions (Section C, line 23 g.)	0	0	0
18. Subtotal (Lines 16 through 17)	\$ 0	\$ 1,294,492	\$ 1,294,492
19. Federal Share requested of Line 18	0	1,294,492	1,294,492
20. Grantee share	0	0	0
21. Other shares	0	0	0
22. TOTAL PROJECT (Lines 19, 20 & 21)	\$ 0	\$ 1,294,492	\$ 1,294,492

SECTION C – EXCLUSIONS

23. Classification (Description of non-participating work)	Amount Ineligible for Participation
a.	
b.	
c.	
d.	
e.	
f.	
g. Total	\$ 0

SECTION D – PROPOSED METHOD OF FINANCING NON-FEDERAL SHARE

24. Grantee Share – Fund Categories	Amount
a. Securities	
b. Mortgages	
c. Appropriations (by Applicant)	
d. Bonds	
e. Tax Levies	
f. Non-Cash	
g. Other (Explain):	
h. TOTAL - Grantee share	\$ 0
25. Other Shares	Amount
a. State	
b. Other	
c. TOTAL - Other Shares	\$ 0
26. TOTAL NON-FEDERAL FINANCING	\$ 0

SECTION E – REMARKS

(Attach sheets if additional space is required)

Based on American Rescue Plan Act. Federal portion will be 100%. The applicant will be utilizing their non-primary entitlement money as well as a State Apportionment.

PART IV – PROGRAM NARRATIVE
(Suggested Format)

PROJECT: Runway 2-20 Rehabilitation - Mill and Overlay
AIRPORT: Morehead-Rowan County, Clyde A. Thomas Regional Airport (SYM)
1. Objective: To complete pavement rehabilitation on the airport's 5,500' x 100' asphalt runway. Additional objective will be to complete airfield pavement markings that will be utilized by pilots for both safety and navigation.
2. Benefits Anticipated: Improvements will consist primarily of mill and overlay of Runway 2-20 and placement of airfield markings.
3. Approach: (See approved Scope of Work in Final Application) The design was completed and paid for under FAA AIP 3-21-0087-009-2019 project number. The project covers bidding and construction associated with runway rehabilitation.
4. Geographic Location: The project will be located at the Morehead-Rowan County, Clyde A. Thomas Regional Airport. 1800 Rodney Hitch Blvd, Morehead, Kentucky 40351.
5. If Applicable, Provide Additional Information: See enclosed bid tabulation, scope approval, and additional attachments. The project was originally designed under FAA AIP 3-21-0087-009-2019 (Garver Project No. 19A07170). Phase I - Full Depth Repairs was constructed under KDA KY20-26 (Garver Project No. 20A07170).
6. Sponsor's Representative: (include address & telephone number) Dr. Bruce Mattingly, 606-776-1232 1800 Rodney Hitch Blvd. Morehead, Kentucky 40351



U.S. Department
of Transportation
**Federal Aviation
Administration**

FAA Form 5100-129, Construction Project Final Acceptance – Airport Improvement Program Sponsor Certification

Paperwork Reduction Act Burden Statement

A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The OMB Control Number for this information collection is 2120-0569. Public reporting for this collection of information is estimated to be approximately 8 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing and reviewing the collection of information. All responses to this collection of information are required under 49 U.S.C. Section 47105 to retain a benefit and to meet the reporting requirements of 2 CFR 200. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Federal Aviation Administration, 10101 Hillwood Parkway, Fort Worth, TX 76177-1524.

Construction Project Final Acceptance Airport Improvement Program Sponsor Certification

Sponsor: Morehead-Rowan County Airport Board

Airport: Morehead-Rowan County, Clyde A. Thomas Regional Airport (SYM)

Project Number: 3-21-0087-012-2021

Description of Work: Perform pavement rehabilitation (mill and overlay) to the 5,500' x 100' asphalt runway to correct transverse gradient issues.

Application

49 USC § 47105(d), authorizes the Secretary to require me certification from the sponsor that it will comply with the statutory and administrative requirements in carrying out a project under the Airport Improvement Program. General standards for final acceptance and close out of federally funded construction projects are in 2 CFR § 200.343 – Closeout and supplemented by FAA Order 5100.38. The sponsor must determine that project costs are accurate and proper in accordance with specific requirements of the grant agreement and contract documents.

Certification Statements

Except for certification statements below marked not applicable (N/A), this list includes major requirements of the construction project. Selecting "Yes" represents sponsor acknowledgment and confirmation of the certification statement. The term "will" means Sponsor action taken at appropriate time based on the certification statement focus area, but no later than the end of the project period of performance. This list is not comprehensive and does not relieve the sponsor from fully complying with all applicable statutory and administrative standards. The source of the requirement is referenced within parenthesis.

1. The personnel engaged in project administration, engineering supervision, project inspection, and acceptance testing were or will be determined to be qualified and competent to perform the work (Grant Assurance).
☒ Yes ☐ No ☐ N/A
2. Construction records, including daily logs, were or will be kept by the resident engineer/construction inspector that fully document contractor's performance in complying with:
 - a. Technical standards (Advisory Circular (AC) 150/5370-12);
 - b. Contract requirements (2 CFR part 200 and FAA Order 5100.38); and
 - c. Construction safety and phasing plan measures (AC 150/5370-2).☒ Yes ☐ No ☐ N/A
3. All acceptance tests specified in the project specifications were or will be performed and documented. (AC 150/5370-12).
☒ Yes ☐ No ☐ N/A

4. Sponsor has taken or will take appropriate corrective action for any test result outside of allowable tolerances (AC 150/5370-12).
☒ Yes ☐ No ☐ N/A
5. Pay reduction factors required by the specifications were applied or will be applied in computing final payments with a summary made available to the FAA (AC 150/5370-10).
☒ Yes ☐ No ☐ N/A
6. Sponsor has notified, or will promptly notify the Federal Aviation Administration (FAA) of the following occurrences:
- a. Violations of any federal requirements set forth or included by reference in the contract documents (2 CFR part 200);
 - b. Disputes or complaints concerning federal labor standards (29 CFR part 5); and
 - c. Violations of or complaints addressing conformance with Equal Employment Opportunity or Disadvantaged Business Enterprise requirements (41 CFR Chapter 60 and 49 CFR part 26).
- ☒ Yes ☐ No ☐ N/A
7. Weekly payroll records and statements of compliance were or will be submitted by the prime contractor and reviewed by the sponsor for conformance with federal labor and civil rights requirements as required by FAA and U.S. Department of Labor (29 CFR Part 5).
☒ Yes ☐ No ☐ N/A
8. Payments to the contractor were or will be made in conformance with federal requirements and contract provisions using sponsor internal controls that include:
- a. Retaining source documentation of payments and verifying contractor billing statements against actual performance (2 CFR § 200.302 and FAA Order 5100.38);
 - b. Prompt payment of subcontractors for satisfactory performance of work (49 CFR § 26.29);
 - c. Release of applicable retainage upon satisfactory performance of work (49 CFR § 26.29); and
 - d. Verification that payments to DBEs represent work the DBE performed by carrying out a commercially useful function (49 CFR §26.55).
- ☒ Yes ☐ No ☐ N/A
9. A final project inspection was or will be conducted with representatives of the sponsor and the contractor present that ensure:
- a. Physical completion of project work in conformance with approved plans and specifications (Order 5100.38);
 - b. Necessary actions to correct punch list items identified during final inspection are complete (Order 5100.38); and
 - c. Preparation of a record of final inspection and distribution to parties to the contract (Order 5100.38);
- ☒ Yes ☐ No ☐ N/A
10. The project was or will be accomplished without material deviations, changes, or modifications from approved plans and specifications, except as approved by the FAA (Order 5100.38).
☒ Yes ☐ No ☐ N/A

11. The construction of all buildings have complied or will comply with the seismic construction requirements of 49 CFR § 41.120.

☐ Yes ☐ No ☒ N/A

12. For development projects, sponsor has taken or will take the following close-out actions:

- a. Submit to the FAA a final test and quality assurance report summarizing acceptance test results, as applicable (Grant Condition);
- b. Complete all environmental requirements as established within the project environmental determination (Order 5100.38); and
- c. Prepare and retain as-built plans (Order 5100.38).

☒ Yes ☐ No ☐ N/A

13. Sponsor has revised or will revise their airport layout plan (ALP) that reflects improvements made and has submitted or will submit an updated ALP to the FAA no later than 90 days from the period of performance end date. (49 USC § 47107 and Order 5100.38).

☒ Yes ☐ No ☐ N/A

Attach documentation clarifying any above item marked with "No" response.

Sponsor's Certification

I certify, for the project identified herein, responses to the forgoing items are accurate as marked and additional documentation for any item marked "no" is correct and complete.

Executed on this _____ day of _____, _____.

Name of Sponsor: Morehead-Rowan County Airport Board

Name of Sponsor's Authorized Official: Dr. Bruce Mattingly

Title of Sponsor's Authorized Official: Airport Board Chairman

Signature of Sponsor's Authorized Official: _____



I declare under penalty of perjury that the foregoing is true and correct. I understand that knowingly and willfully providing false information to the federal government is a violation of 18 USC § 1001 (False Statements) and could subject me to fines, imprisonment, or both.



U.S. Department
of Transportation
**Federal Aviation
Administration**

FAA Form 5100-130, Drug-Free Workplace – Airport Improvement Program Sponsor Certification

Paperwork Reduction Act Burden Statement

A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The OMB Control Number for this information collection is 2120-0569. Public reporting for this collection of information is estimated to be approximately 8 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing and reviewing the collection of information. All responses to this collection of information are required under 49 U.S.C. Section 47105 to retain a benefit and to meet the reporting requirements of 2 CFR 200. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Federal Aviation Administration, 10101 Hillwood Parkway, Fort Worth, TX 76177-1524.

Drug-Free Workplace Airport Improvement Program Sponsor Certification

Sponsor: Morehead-Rowan County Airport Board

Airport: Morehead-Rowan County, Clyde A. Thomas Regional Airport (SYM)

Project Number: 3-21-0087-012-2021

Description of Work: Perform pavement rehabilitation (mill and overlay) to the 5,500' x 100' asphalt runway to correct transverse gradient issues.

Application

49 USC § 47105(d) authorizes the Secretary to require certification from the sponsor that it will comply with the statutory and administrative requirements in carrying out a project under the Airport Improvement Program (AIP). General requirements on the drug-free workplace within federal grant programs are described in 2 CFR part 182. Sponsors are required to certify they will be, or will continue to provide, a drug-free workplace in accordance with the regulation. The AIP project grant agreement contains specific assurances on the Drug-Free Workplace Act of 1988.

Certification Statements

Except for certification statements below marked as not applicable (N/A), this list includes major requirements of the construction project. Selecting "Yes" represents sponsor acknowledgement and confirmation of the certification statement. The term "will" means Sponsor action taken at appropriate time based on the certification statement focus area, but no later than the end of the project period of performance. This list is not comprehensive and does not relieve the sponsor from fully complying with all applicable statutory and administrative standards. The source of the requirement is referenced within parenthesis.

1. A statement has been or will be published prior to commencement of project notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the sponsor's workplace, and specifying the actions to be taken against employees for violation of such prohibition (2 CFR § 182.205).

☒ Yes ☐ No ☐ N/A

2. An ongoing drug-free awareness program (2 CFR § 182.215) has been or will be established prior to commencement of project to inform employees about:

- a. The dangers of drug abuse in the workplace;
- b. The sponsor's policy of maintaining a drug-free workplace;
- c. Any available drug counseling, rehabilitation, and employee assistance programs; and
- d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

☒ Yes ☐ No ☐ N/A

3. Each employee to be engaged in the performance of the work has been or will be given a copy of the statement required within item 1 above prior to commencement of project (2 CFR § 182.210).

☒ Yes ☐ No ☐ N/A

4. Employees have been or will be notified in the statement required by item 1 above that, as a condition employment under the grant (2 CFR § 182.205(c)), the employee will:

- a. Abide by the terms of the statement; and
- b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.

☒ Yes ☐ No ☐ N/A

5. The Federal Aviation Administration (FAA) will be notified in writing within 10 calendar days after receiving notice under item 4b above from an employee or otherwise receiving actual notice of such conviction (2 CFR § 182.225). Employers of convicted employees must provide notice, including position title of the employee, to the FAA (2 CFR § 182.300).

☒ Yes ☐ No ☐ N/A

6. One of the following actions (2 CFR § 182.225(b)) will be taken within 30 calendar days of receiving a notice under item 4b above with respect to any employee who is so convicted:

- a. Take appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; and
- b. Require such employee to participate satisfactorily in drug abuse assistance or rehabilitation programs approved for such purposes by a federal, state, or local health, law enforcement, or other appropriate agency.

☒ Yes ☐ No ☐ N/A

7. A good faith effort will be made, on a continuous basis, to maintain a drug-free workplace through implementation of items 1 through 6 above (2 CFR § 182.200).

☒ Yes ☐ No ☐ N/A

Site(s) of performance of work (2 CFR § 182.230):

Location 1

Name of Location: Morehead-Rowan County, Clyde A. Thomas Regional Airport

Address: 1800 Rodney Hitch Blvd. Morehead, Kentucky 40351

Location 2 (if applicable)

Name of Location:

Address:

Location 3 (if applicable)

Name of Location:

Address:

Attach documentation clarifying any above item marked with a "No" response.

Sponsor's Certification

I certify, for the project identified herein, responses to the forgoing items are accurate as marked and additional documentation for any item marked "no" is correct and complete.

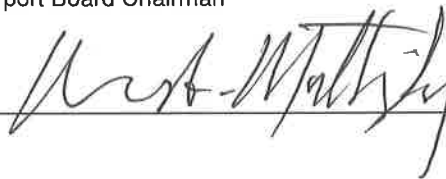
Executed on this day of , .

Name of Sponsor: Morehead-Rowan County Airport Board

Name of Sponsor's Authorized Official: Dr. Bruce Mattingly

Title of Sponsor's Authorized Official: Airport Board Chairman

Signature of Sponsor's Authorized Official: _____

A handwritten signature in black ink, appearing to read "Dr. Bruce Mattingly", is written over a horizontal line.

I declare under penalty of perjury that the foregoing is true and correct. I understand that knowingly and willfully providing false information to the federal government is a violation of 18 USC § 1001 (False Statements) and could subject me to fines, imprisonment, or both.



U.S. Department
of Transportation
**Federal Aviation
Administration**

FAA Form 5100-131, Equipment and Construction Contracts – Airport Improvement Sponsor Certification

Paperwork Reduction Act Burden Statement

A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The OMB Control Number for this information collection is 2120-0569. Public reporting for this collection of information is estimated to be approximately 8 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing and reviewing the collection of information. All responses to this collection of information are required under 49 U.S.C. Section 47105 to retain a benefit and to meet the reporting requirements of 2 CFR 200. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Federal Aviation Administration, 10101 Hillwood Parkway, Fort Worth, TX 76177-1524.

Equipment and Construction Contracts Airport Improvement Sponsor Certification

Sponsor: Morehead-Rowan County Airport Board

Airport: Morehead-Rowan County, Clyde A. Thomas Regional Airport (SYM)

Project Number: 3-21-0087-012-2021

Description of Work: Perform pavement rehabilitation (mill and overlay) to the 5,500' x 100' asphalt runway to correct transverse gradient issues.

Application

49 USC § 47105(d) authorizes the Secretary to require certification from the sponsor that it will comply with the statutory and administrative requirements in carrying out a project under the Airport Improvement Program (AIP). General procurement standards for equipment and construction contracts within Federal grant programs are described in 2 CFR §§ 200.317-200.326. Labor and Civil Rights Standards applicable to the AIP are established by the Department of Labor (www.dol.gov) AIP Grant Assurance C.1—General Federal Requirements identifies all applicable Federal Laws, regulations, executive orders, policies, guidelines and requirements for assistance under the AIP. Sponsors may use state and local procedures provided the procurement conforms to these federal standards.

This certification applies to all equipment and construction projects. Equipment projects may or may not employ laborers and mechanics that qualify the project as a “covered contract” under requirements established by the Department of Labor requirements. Sponsor shall provide appropriate responses to the certification statements that reflect the character of the project regardless of whether the contract is for a construction project or an equipment project.

Certification Statements

Except for certification statements below marked as not applicable (N/A), this list includes major requirements of the construction project. Selecting “Yes” represents sponsor acknowledgement and confirmation of the certification statement. The term “will” means Sponsor action taken at appropriate time based on the certification statement focus area, but no later than the end of the project period of performance. This list is not comprehensive and does not relieve the sponsor from fully complying with all applicable statutory and administrative standards. The source of the requirement is referenced within parenthesis.

1. A written code or standard of conduct is or will be in effect prior to commencement of the project that governs the performance of the sponsor's officers, employees, or agents in soliciting, awarding and administering procurement contracts (2 CFR § 200.318).

☒ Yes ☐ No ☐ N/A

2. For all contracts, qualified and competent personnel are or will be engaged to perform contract administration, engineering supervision, construction inspection, and testing (Grant Assurance C.17).
- ☒ Yes ☐ No ☐ N/A
3. Sponsors that are required to have a Disadvantage Business Enterprise (DBE) program on file with the FAA have included or will include clauses required by Title VI of the Civil Rights Act and 49 CFR Part 26 for Disadvantaged Business Enterprises in all contracts and subcontracts.
- ☒ Yes ☐ No ☐ N/A
4. Sponsors required to have a DBE program on file with the FAA have implemented or will implement monitoring and enforcement measures that:
- a. Ensure work committed to Disadvantaged Business Enterprises at contract award is actually performed by the named DBEs (49 CFR § 26.37(b));
 - b. Include written certification that the sponsor has reviewed contract records and has monitored work sites for performance by DBE firms (49 CFR § 26.37(b)); and
 - c. Provides for a running tally of payments made to DBE firms and a means for comparing actual attainments (i.e. payments) to original commitments (49 CFR § 26.37(c)).
- ☒ Yes ☐ No ☐ N/A
5. Sponsor procurement actions using the competitive sealed bid method (2 CFR § 200.320(c)). was or will be:
- a. Publicly advertised, allowing a sufficient response time to solicit an adequate number of interested contractors or vendors;
 - b. Prepared to include a complete, adequate and realistic specification that defines the items or services in sufficient detail to allow prospective bidders to respond;
 - c. Publicly opened at a time and place prescribed in the invitation for bids; and
 - d. Prepared in a manner that result in a firm fixed price contract award to the lowest responsive and responsible bidder.
- ☒ Yes ☐ No ☐ N/A
6. For projects the Sponsor proposes to use the competitive proposal procurement method (2 CFR § 200.320(d)), Sponsor has requested or will request FAA approval prior to proceeding with a competitive proposal procurement by submitting to the FAA the following:
- a. Written justification that supports use of competitive proposal method in lieu of the preferred sealed bid procurement method;
 - b. Plan for publicizing and soliciting an adequate number of qualified sources; and
 - c. Listing of evaluation factors along with relative importance of the factors.
- ☐ Yes ☐ No ☒ N/A
7. For construction and equipment installation projects, the bid solicitation includes or will include the current federal wage rate schedule(s) for the appropriate type of work classifications (2 CFR Part 200, Appendix II).
- ☒ Yes ☐ No ☐ N/A

8. Concurrence was or will be obtained from the Federal Aviation Administration (FAA) prior to contract award under any of the following circumstances (Order 5100.38D):

- a. Only one qualified person/firm submits a responsive bid;
- b. Award is to be made to other than the lowest responsible bidder; and
- c. Life cycle costing is a factor in selecting the lowest responsive bidder.

☒ Yes ☐ No ☐ N/A

9. All construction and equipment installation contracts contain or will contain provisions for:

- a. Access to Records (§ 200.336)
- b. Buy American Preferences (Title 49 U.S.C. § 50101)
- c. Civil Rights - General Provisions and Title VI Assurances(41 CFR part 60)
- d. Federal Fair Labor Standards (29 U.S.C. § 201, et seq)
- e. Occupational Safety and Health Act requirements (20 CFR part 1920)
- f. Seismic Safety – building construction (49 CFR part 41)
- g. State Energy Conservation Requirements - as applicable(2 CFR part 200, Appendix II)
- h. U.S. Trade Restriction (49 CFR part 30)
- i. Veterans Preference (49 USC § 47112(c))

☒ Yes ☐ No ☐ N/A

10. All construction and equipment installation contracts exceeding \$2,000 contain or will contain the provisions established by:

- a. Davis-Bacon and Related Acts (29 CFR part 5)
- b. Copeland "Anti-Kickback" Act (29 CFR parts 3 and 5)

☒ Yes ☐ No ☐ N/A

11. All construction and equipment installation contracts exceeding \$3,000 contain or will contain a contract provision that discourages distracted driving (E.O. 13513).

☒ Yes ☐ No ☐ N/A

12. All contracts exceeding \$10,000 contain or will contain the following provisions as applicable:

- a. Construction and equipment installation projects - Applicable clauses from 41 CFR Part 60 for compliance with Executive Orders 11246 and 11375 on Equal Employment Opportunity;
- b. Construction and equipment installation - Contract Clause prohibiting segregated facilities in accordance with 41 CFR part 60-1.8;
- c. Requirement to maximize use of products containing recovered materials in accordance with 2 CFR § 200.322 and 40 CFR part 247; and
- d. Provisions that address termination for cause and termination for convenience (2 CFR Part 200, Appendix II).

☒ Yes ☐ No ☐ N/A

13. All contracts and subcontracts exceeding \$25,000: Measures are in place or will be in place (e.g. checking the System for Award Management) that ensure contracts and subcontracts are not awarded to individuals or firms suspended, debarred, or excluded from participating in federally assisted projects (2 CFR parts 180 and 1200).

☒ Yes ☐ No ☐ N/A

14. Contracts exceeding the simplified acquisition threshold (currently \$250,000) include or will include provisions, as applicable, that address the following:

- a. Construction and equipment installation contracts - a bid guarantee of 5%, a performance bond of 100%, and a payment bond of 100% (2 CFR § 200.325);
- b. Construction and equipment installation contracts - requirements of the Contract Work Hours and Safety Standards Act (40 USC 3701-3708, Sections 103 and 107);
- c. Restrictions on Lobbying and Influencing (2 CFR part 200, Appendix II);
- d. Conditions specifying administrative, contractual and legal remedies for instances where contractor or vendor violate or breach the terms and conditions of the contract (2 CFR §200, Appendix II); and
- e. All Contracts - Applicable standards and requirements issued under Section 306 of the Clean Air Act (42 USC 7401-7671q), Section 508 of the Clean Water Act (33 USC 1251-1387, and Executive Order 11738.

☒ Yes ☐ No ☐ N/A

Attach documentation clarifying any above item marked with "No" response.

Sponsor's Certification

I certify, for the project identified herein, responses to the forgoing items are accurate as marked and additional documentation for any item marked "no" is correct and complete.

Executed on this _____ day of _____, _____.

Name of Sponsor: Morehead-Rowan County Airport Board

Name of Sponsor's Authorized Official: Dr. Bruce Mattingly

Title of Sponsor's Authorized Official: Airport Board Chairman

Signature of Sponsor's Authorized Official: _____



I declare under penalty of perjury that the foregoing is true and correct. I understand that knowingly and willfully providing false information to the federal government is a violation of 18 USC § 1001 (False Statements) and could subject me to fines, imprisonment, or both.



U.S. Department
of Transportation
**Federal Aviation
Administration**

FAA Form 5100-134, Selection of Consultants – Airport Improvement Program Sponsor Certification

Paperwork Reduction Act Statement

A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The OMB Control Number for this information collection is 2120-0569. Public reporting for this collection of information is estimated to be approximately 8 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing and reviewing the collection of information. All responses to this collection of information are required under 49 U.S.C. Section 47105 to retain a benefit and to meet the reporting requirements of 2 CFR 200. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Federal Aviation Administration, 10101 Hillwood Parkway, Fort Worth, TX 76177-1524.

Selection of Consultants

Airport Improvement Program Sponsor Certification

Sponsor: Morehead-Rowan County Airport Board

Airport: Morehead-Rowan County, Clyde A. Thomas Regional Airport (SYM)

Project Number: 3-21-0087-012-2021

Description of Work: Perform pavement rehabilitation (mill and overlay) to the 5,500' x 100' asphalt runway to correct transverse gradient issues.

Application

49 USC § 47105(d) authorizes the Secretary to require certification from the sponsor that it will comply with the statutory and administrative requirements in carrying out a project under the Airport Improvement Program (AIP). General requirements for selection of consultant services within federal grant programs are described in 2 CFR §§ 200.317-200.326. Sponsors may use other qualifications-based procedures provided they are equivalent to standards of Title 40 chapter 11 and FAA Advisory Circular 150/5100-14, Architectural, Engineering, and Planning Consultant Services for Airport Grant Projects.

Certification Statements

Except for certification statements below marked as not applicable (N/A), this list includes major requirements of the construction project. Selecting "Yes" represents sponsor acknowledgement and confirmation of the certification statement. The term "will" means Sponsor action taken at appropriate time based on the certification statement focus area, but no later than the end of the project period of performance. This list is not comprehensive and does not relieve the sponsor from fully complying with all applicable statutory and administrative standards. The source of the requirement is referenced within parenthesis.

1. Sponsor acknowledges their responsibility for the settlement of all contractual and administrative issues arising out of their procurement actions (2 CFR § 200.318(k)).
☒ Yes ☐ No ☐ N/A
2. Sponsor procurement actions ensure or will ensure full and open competition that does not unduly limit competition (2 CFR § 200.319).
☒ Yes ☐ No ☐ N/A
3. Sponsor has excluded or will exclude any entity that develops or drafts specifications, requirements, or statements of work associated with the development of a request-for-qualifications (RFQ) from competing for the advertised services (2 CFR § 200.319).
☒ Yes ☐ No ☐ N/A

4. The advertisement describes or will describe specific project statements-of-work that provide clear detail of required services without unduly restricting competition (2 CFR § 200.319).
☒ Yes ☐ No ☐ N/A
5. Sponsor has publicized or will publicize a RFQ that:
a. Solicits an adequate number of qualified sources (2 CFR § 200.320(d)); and
b. Identifies all evaluation criteria and relative importance (2 CFR § 200.320(d)).
☒ Yes ☐ No ☐ N/A
6. Sponsor has based or will base selection on qualifications, experience, and disadvantaged business enterprise participation with price not being a selection factor (2 CFR § 200.320(d)).
☒ Yes ☐ No ☐ N/A
7. Sponsor has verified or will verify that agreements exceeding \$25,000 are not awarded to individuals or firms suspended, debarred or otherwise excluded from participating in federally assisted projects (2 CFR § 180.300).
☒ Yes ☐ No ☐ N/A
8. A/E services covering multiple projects: Sponsor has agreed to or will agree to:
a. Refrain from initiating work covered by this procurement beyond five years from the date of selection (AC 150/5100-14); and
b. Retain the right to conduct new procurement actions for projects identified or not identified in the RFQ (AC 150/5100-14).
☒ Yes ☐ No ☐ N/A
9. Sponsor has negotiated or will negotiate a fair and reasonable fee with the firm they select as most qualified for the services identified in the RFQ (2 CFR § 200.323).
☒ Yes ☐ No ☐ N/A
10. The Sponsor's contract identifies or will identify costs associated with ineligible work separately from costs associated with eligible work (2 CFR § 200.302).
☒ Yes ☐ No ☐ N/A
11. Sponsor has prepared or will prepare a record of negotiations detailing the history of the procurement action, rationale for contract type and basis for contract fees (2 CFR § 200.318(i)).
☒ Yes ☐ No ☐ N/A
12. Sponsor has incorporated or will incorporate mandatory contract provisions in the consultant contract for AIP-assisted work (49 U.S.C. Chapter 471 and 2 CFR part 200 Appendix II)
☒ Yes ☐ No ☐ N/A

13. For contracts that apply a time-and-material payment provision (also known as hourly rates, specific rates of compensation, and labor rates), the Sponsor has established or will establish:

- a. Justification that there is no other suitable contract method for the services (2 CFR §200.318(j));
- b. A ceiling price that the consultant exceeds at their risk (2 CFR §200.318(j)); and
- c. A high degree of oversight that assures consultant is performing work in an efficient manner with effective cost controls in place 2 CFR §200.318(j)).

☒ Yes ☐ No ☐ N/A

14. Sponsor is not using or will not use the prohibited cost-plus-percentage-of-cost (CPPC) contract method. (2 CFR § 200.323(d)).

☒ Yes ☐ No ☐ N/A

Attach documentation clarifying any above item marked with "no" response.

Sponsor's Certification

I certify, for the project identified herein, responses to the forgoing items are accurate as marked and additional documentation for any item marked "no" is correct and complete.

I declare under penalty of perjury that the foregoing is true and correct. I understand that knowingly and willfully providing false information to the federal government is a violation of 18 USC § 1001 (False Statements) and could subject me to fines, imprisonment, or both.

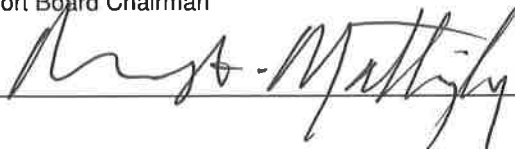
Executed on this day of , .

Name of Sponsor: Morehead-Rowan County Airport Board

Name of Sponsor's Authorized Official: Dr. Bruce Mattingly

Title of Sponsor's Authorized Official: Airport Board Chairman

Signature of Sponsor's Authorized Official: _____



I declare under penalty of perjury that the foregoing is true and correct. I understand that knowingly and willfully providing false information to the federal government is a violation of 18 USC § 1001 (False Statements) and could subject me to fines, imprisonment, or both.



U.S. Department
of Transportation
**Federal Aviation
Administration**

FAA Form 5100-135, Certification and Disclosure Regarding Potential Conflicts of Interest – Airport Improvement Program Sponsor Certification

Paperwork Reduction Act Statement

A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The OMB Control Number for this information collection is 2120-0569. Public reporting for this collection of information is estimated to be approximately 8 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing and reviewing the collection of information. All responses to this collection of information are required under 49 U.S.C. Section 47105 to retain a benefit and to meet the reporting requirements of 2 CFR 200. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Federal Aviation Administration, 10101 Hillwood Parkway, Fort Worth, TX 76177-1524.

Certification and Disclosure Regarding Potential Conflicts of Interest Airport Improvement Program Sponsor Certification

Sponsor: Morehead-Rowan County Airport Board

Airport: Morehead-Rowan County, Clyde A. Thomas Regional Airport (SYM)

Project Number: 3-21-0087-012-2021

Description of Work: Perform pavement rehabilitation (mill and overlay) to the 5,500' x 100' asphalt runway to correct transverse gradient issues.

Application

Title 2 CFR § 200.112 and § 1201.112 address Federal Aviation Administration (FAA) requirements for conflict of interest. As a condition of eligibility under the Airport Improvement Program (AIP), sponsors must comply with FAA policy on conflict of interest. Such a conflict would arise when any of the following have a financial or other interest in the firm selected for award:

- a) The employee, officer or agent,
- b) Any member of his immediate family,
- c) His or her partner, or
- d) An organization which employs, or is about to employ, any of the above.

Selecting "Yes" represents sponsor or sub-recipient acknowledgement and confirmation of the certification statement. Selecting "No" represents sponsor or sub-recipient disclosure that it cannot fully comply with the certification statement. If "No" is selected, provide support information explaining the negative response as an attachment to this form. This includes whether the sponsor has established standards for financial interest that are not substantial or unsolicited gifts are of nominal value (2 CFR § 200.318(c)). The term "will" means Sponsor action taken at appropriate time based on the certification statement focus area, but no later than the end of the project period of performance.

Certification Statements

1. The sponsor or sub-recipient maintains a written standards of conduct governing conflict of interest and the performance of their employees engaged in the award and administration of contracts (2 CFR § 200.318(c)). To the extent permitted by state or local law or regulations, such standards of conduct provide for penalties, sanctions, or other disciplinary actions for violations of such standards by the sponsor's and sub-recipient's officers, employees, or agents, or by contractors or their agents.

☒ Yes ☐ No

2. The sponsor's or sub-recipient's officers, employees or agents have not and will not solicit or accept gratuities, favors or anything of monetary value from contractors, potential contractors, or parties to sub-agreements (2 CFR § 200.318(c)).

☒ Yes ☐ No

3. The sponsor or sub-recipient certifies that it has disclosed and will disclose to the FAA any known potential conflict of interest (2 CFR § 1200.112).

☒ Yes ☐ No

Attach documentation clarifying any above item marked with "no" response.

Sponsor's Certification

I certify, for the project identified herein, responses to the forgoing items are accurate as marked and have the explanation for any item marked "no" is correct and complete.

Executed on this _____ day of _____, _____.

Name of Sponsor: Morehead-Rowan County Airport Board

Name of Sponsor's Authorized Official: Dr. Bruce Mattingly

Title of Sponsor's Authorized Official: Airport Board Chairman

Signature of Sponsor's Authorized Official: _____



I declare under penalty of perjury that the foregoing is true and correct. I understand that knowingly and willfully providing false information to the federal government is a violation of 18 USC § 1001 (False Statements) and could subject me to fines, imprisonment, or both.

**MOREHEAD-ROWAN COUNTY AIRPORT
RUNWAY REHABILITATION PROJECT
BID TABULATION
BID OPENING: April 21, 2021**

ENGINEER'S ESTIMATE Hinkle Contracting Company, LLC

ITEM NO.	SPEC. NO.	DESCRIPTION	UNIT	ESTIMATED QUANTITY	UNIT PRICE	AMOUNT	UNIT PRICE	AMOUNT
1	C-105-6.1	Mobilization	LS	1	\$83,889.90	\$83,890.00	\$98,000.00	\$98,000.00
2	SS-120-3.1	Lockout and Tagout	LS	1	\$1,500.00	\$1,500.00	\$8,000.00	\$8,000.00
3	SS-152-5.2	Shouldering	SY	10,450	\$4.50	\$47,025.00	\$2.55	\$26,647.50
4	P-101-5.1	Joint and Crack Repair	LF	24,400	\$1.50	\$36,600.00	\$0.90	\$21,960.00
5	P-101-5.2	Cold Milling (0-3 Inches)	SY	63,540	\$2.00	\$127,080.00	\$1.65	\$104,841.00
6	P-401-8.1	Asphalt Surface Course	SY	7,760	\$104.00	\$807,040.00	\$102.00	\$791,520.00
7	P-620-5.1	White Runway Marking w/ Reflective Media	SF	37,569	\$1.40	\$52,597.00	\$1.57	\$58,983.33
8	P-620-5.2	Yellow Taxiway Marking w/ Reflective Media	SF	10,540	\$1.40	\$14,756.00	\$1.57	\$16,547.80
9	P-620-5.3	Black Outline Marking without Reflective Media	SF	18,830	\$1.00	\$18,830.00	\$1.06	\$19,959.80
10	P-620-5.4	Cleaning of Airfield Pavement Markings	SF	7,035	\$0.60	\$4,221.00	\$0.90	\$6,331.50
11	T-908-5.1	Hydro-mulch	SY	10,450	\$0.85	\$8,883.00	\$0.92	\$9,614.00

TOTALS

\$1,202,422.00

\$1,162,404.93

Corrected Prices

